



Federal Fish and Wildlife License/Permit Application Form

Return to:

Division of Management Authority
U.S. Fish and Wildlife Service
4401 N. Fairfax Drive, Room 700
Arlington, VA 22203
1-800-358-2104 or 703-358-2104

Type of Activity:

Approval of a Foreign Breeding Facility
Under the WBCA

| A. Complete if applying as an individual | | | | | |
|---|---|---|--------------------------------|-------------------------------|-------------|
| 1.a. Last name: | | 1.b. First name: | | 1.c. Middle name or initial: | 1.d. Suffix |
| 1.e. Doing business as (dba): | | 2.a. Street Address (line 1): | | 2.b. Street Address (line 2): | |
| 2.c. Street address (line 3): | | 3.a. City: | 3.b. County: | 3.c. Province: | 3.d. State: |
| 3.e. Zip code or postal code: | 3.f. Country (only for non-commercial): | | 4. Date of birth (mm/dd/yyyy): | 5. Social Security No: | |
| 6. Occupation: | | 7. List of any business, agency, organizational, or institutional affiliation associated with the wildlife or plants to be covered by this license or permit: | | | |
| 8. Home telephone number: | 9. Work telephone number: | 10. Fax number: | | 11. E-mail address: | |

| B. Complete if applying as a business, corporation, public agency or institution | | | | | |
|---|---------------------------|---|--|-------------------------------|----------------|
| 1.a. Name of business, agency, or institution: | | 1.b. Doing business as (dba): | | 2. Tax identification no.: | |
| 3.a. Street address (line 1): | | 3.b. Street address (line 2): | | 3.c. Street address (line 3): | |
| 4.a. City: | | 4.b. County | | 4.c. State: | 4.d. Zip code: |
| 5.a. Principal officer - Last name: | | 5.b. First name: | | 5.c. Middle name or initial | 5.d. Suffix |
| 5.e. Principal officer title: | | 6. Describe the type of business, agency, or institution: | | | |
| 7. Home telephone number: | 8. Work telephone number: | 9. Fax number: | | 10. E-mail address: | |

| C. All applicants complete | |
|--|-----------------------|
| 1. Do you currently have or have you had any Federal Fish and Wildlife License or Permit? Yes If yes, list the number of the most recent license or permit you hold: No | |
| 2. Have you obtained any required state or foreign government approval to conduct the activity you propose? Yes If yes, provide a copy of the license or permit. No Not Required | |
| 3. Attachments: Complete the additional pages of this application. Application will not be considered complete without these pages. Incomplete applications may be returned. | |
| 4. Enclose check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount of \$25. Institutions which qualify under 50 CFR 13.11(d)(3) may be exempt from fees. | |
| 5. Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50, Part 13, of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50, and I further certify that the information submitted in this application for a license or permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001. | |
| 6. Signature (in ink) of applicant or person responsible for permit in Block A or B | 7. Date (mm/dd/yyyy): |

D. APPROVAL OF A FOREIGN BREEDING FACILITY UNDER THE WBCA

***NOTE:** This is an application to approve a foreign breeding facility under the Wild Bird Conservation Act (WBCA).

Please provide the following information on separate paper.

1. Background information, including:
 - a. Description of the exotic bird species to be imported, including the common and scientific names of the species;
 - b. The name and address of the foreign breeding facility, and a statement of the reasons supporting approval;
 - c. Certification in writing from the Management Authority of the country in which the facility is located that the facility has the capability of breeding the species in captivity in the numbers to be traded without detriment to the wild population, and that the operator of the facility has not been convicted of any violations of the country's laws governing trade and export of avian species; and
 - d. A summary of the legislation implementing the Convention in the country in which the facility is located.
2. For a foreign breeding facility, provide production information including:
 - a. A statement of the facility's capability of producing captive-bred birds of the species and in the numbers they wish to export to the United States;
 - b. Relevant experience of persons involved in the operation of the facility;
 - c. Current stock of the facility, including total number, ages, sexes, and numbers of breeding pairs;
 - d. Production levels that have been achieved at the facility, at least for the past two complete calendar years, including numbers of eggs produced, chicks hatched, and chicks reared to independence;
 - e. Anticipated future production;
 - f. A breeding protocol, including a genetic management plan and a description of breeding methods. Breeding methods shall include whether breeding is continuous or seasonal (including descriptions of photoperiod manipulations used to stimulate or control breeding, if any) and whether breeding birds are induced to produce multiple clutches by the removal of eggs for artificial incubation; and
 - g. Descriptions of incubation and rearing methods, as well as any other methods used to enhance production, including artificial insemination when applicable.
3. A statement demonstrating that the facility is operated in a manner that is not detrimental to the survival of the species in the wild, including:
 - a. The number and origin of original breeding stock (founders);
 - b. A statement from the Scientific Authority of the country in which the facility is located attesting that the parental stock was established in a manner that was not detrimental to the survival of the species in the wild;
 - c. If any parental breeding stock was wild-caught, a statement as to the country of origin, date of acquisition, and certification by the Management Authority in the country in which the facility is located that the parental breeding stock was legally acquired; and
 - d. Certification from the Management Authority in the country in which the facility is located that adequate controls, including inspections, are in place to prevent the use of the facility for the export of wild-caught stock.

D. APPROVAL OF A FOREIGN BREEDING FACILITY UNDER THE WBCA (continued)

4. A statement of any anticipated need for augmentation of breeding stock with specimens from other sources; if augmentation is to be from the wild, an explanation as to why the removal of additional specimens from the wild shall be included. This explanation must address whether other captive-bred stock is available and describe breeding strategies employed at the facility to avoid deleterious inbreeding so that the need for augmentation from the wild is minimized.
5. A statement describing the facility and demonstrating that it is operated in a humane and healthful manner, including:
 - a. Diagrams or photographs of the facility;
 - b. Descriptions of enclosures, including dimensions, construction materials, substrates, availability of water, lighting, ventilation, the need for supplemental heat or cooling and how these are provided, and shading (for outdoor enclosures);
 - c. Densities of birds in enclosures;
 - d. Internal features of enclosures, including perches, nest boxes, and plantings; and
 - e. A description of any facility features designed to satisfy unique requirements of the particular species.
6. A description of the care and maintenance practices of the facility, including:
 - a. Husbandry practices, feeding regimes (number of feedings per day), and diet;
 - b. Hygienic practices, including methods and frequency of cleaning and disinfection of equipment and facilities;
 - c. A statement as to the availability of routine professional veterinary care, including annual flock inspection or examination, and medication/vaccination schedules;
 - d. Records of mortality and disease outbreaks for both adults and chicks for at least the past two years. Episodes of high mortality or disease should be explained, and measures taken to prevent similar mortality or disease outbreaks in the future should be described; and
 - e. Qualifications and experience of the personnel who will be responsible for the care of the exotic birds.
7. A statement that all birds exported or to be exported from the facility are bred at the facility and are marked with closed leg bands or an electronic marking system.
8. Details on the system of record keeping and tracking of birds and their progeny hatched at the facility, including:
 - a. Documentation that the facility maintains records of hatch dates and band numbers of all birds produced at the facility;
 - b. Documentation that the facility maintains records of all birds traded from the facility, including both within the country in which the facility is located and internationally; and
 - c. Copies of these production and trade data shall be included with the application for the past two calendar years.
9. A statement documenting that adequate enforcement controls are in place in the country and at the facility to prevent the use of the facility for the export or re-export of wild-caught stock, including a statement on security at the facility and any prior losses due to theft.
10. Any other information that the applicant considers to may be appropriate to the evaluation of this plan.

PERMIT APPLICATION FORM INSTRUCTIONS

The following instructions pertain to the standard License/Permit Form 3-200 that must be completed as an application for a U.S. Fish and Wildlife Service permit. The General Permit Procedures in 50 CFR 13 address the permitting process.

- * Complete all appropriate blocks/lines. Print clearly or type in the information. *A complete application prevents delays!*
- * Sign the application *in ink* and send an *original* to the address on the top of the application. Faxed copies will not be accepted.
- * Applications will be processed in the order they are received.

Most of the application form is self-explanatory, but the following provides some further assistance for completing the form.

COMPLETE EITHER BLOCK A OR BLOCK B:

- Block A. **"Complete if applying as an individual"** - Enter the complete name of the responsible party who will be the permittee if a permit is issued. Enter personal information that identifies the applicant. All blocks must be completed. If you are applying on behalf of a client, the personal information must pertain to the client. A notarized document stating power of attorney must be included with the application.
- Block B. **"Complete if applying as a business, corporation, public agency or institution"** - Enter the complete name and address of the business, agency or institution who will be the permittee if a permit is issued. Give a brief description of the type of business the applicant is engaged in, the name and phone number of the person in charge, and if the company is incorporated, the state in which it was incorporated.

ALL APPLICANTS COMPLETE BLOCK C:

- Block C.1 **"Do you currently have or have you had any Federal Fish and Wildlife license or permit?"** - List the number of any FWS or CITES permits. If applying for a renewal, the original permit must be returned with this application.
- Block C.2 **"Have you obtained any required state or foreign government approval to conduct the activity you propose?"** - If the proposed activity is regulated, check the appropriate box. If "yes," list the State or foreign countries involved and type of document required. Include a copy of these documents with the application. If "no" indicate what steps you have taken to secure approval (use attachment if necessary). If the proposed activity is not regulated check "not required".
- Block C.3 **"Attachments"** - Consult the fact sheet or regulation. Provide any required additional information outlined on the supplemental page(s) of the application form. Be as complete and descriptive as possible. If there is any doubt as to the information's relevance, include it with the application. An incomplete or unclear application may cause delays in processing.
- Block C.4 **"Check or money order (if applicable)"** - There is a permit processing fee unless you are fee exempt. Consult the enclosed APPLICATION PROCESSING FEE information. Make the check or money order payable to the "U.S. Fish and Wildlife Service" and attach it to the application form. If you are fee exempt, write "exempt" in this space.
- Block C.5 **"CERTIFICATION"** - The **individual applicant in Block A, the person named in Block B, or person with power of attorney must sign and date** the application in ink. This signature binds that person to the statement of certification. This means that you certify that you read and understood the regulations that apply to the permit. You also certify that everything included in the application is true to the best of your knowledge. Be sure to read the statement and re-read the application before signing.

APPLICATION FOR A FEDERAL FISH AND WILDLIFE LICENSE/PERMIT
Paperwork Reduction Act and the Privacy Act – Notices

In accordance with the Paperwork Reduction Act of 1995 (44 U.S.C. 3501, et seq.) and the Privacy Act of 1974 (5 U.S.C. 552a), please be advised that:

1. The gathering of information on fish and wildlife is authorized by:
 - (a) Bald Eagle Protection Act (16 U.S.C. 663a);
 - (b) Endangered Species Act of 1973 (16 U.S.C. 1539);
 - (c) Migratory Bird Treaty Act (16 U.S.C. 703-711);
 - (d) Marine Mammal Protection Act of 1972 (16 U.S.C. 1371-1383);
 - (e) Wild Bird Conservation Act (16 U.S.C. 4901-4916);
 - (f) Lacey Act (18 U.S.C. 42 & 44);
 - (g) Convention on International Trade in Endangered Species of Wild Flora and Fauna (TIAS 8249);
 - (h) Title 50, Part 10, of the Code of Federal Regulations;
 - (i) Title 50, Part 13, of the Code of Federal Regulations;
 - (j) Title 50, Part 14, of the Code of Federal Regulations;
 - (k) Title 50, Part 15, of the Code of Federal Regulations;
 - (l) Title 50, Part 17, of the Code of Federal Regulations;
 - (m) Title 50, Part 21, of the Code of Federal Regulations;
 - (n) Title 50, Part 22, of the Code of Federal Regulations; and
 - (o) Title 50, Part 23, of the Code of Federal Regulations.
2. Information requested in this form is purely voluntary. However, submission of requested information is required in order to process applications for licenses or permits authorized under the above acts. Failure to provide all requested information may be sufficient cause for the U.S. Fish and Wildlife Service to deny a permit. Response is not required unless a currently valid Office of Management and Budget (OMB) control number is displayed.
3. Certain applications for permits authorized under the Endangered Species Act of 1973 (16 U.S.C. 1539) and the Marine Mammal Protection Act of 1972 (16 U.S.C. 1371-1383) will be published in the Federal Register as required by the two acts.
4. Routine use disclosures may also be made:
 - (a) To the U.S. Department of Justice when related to litigation or anticipated litigation;
 - (b) Of information indicating a violation or potential violation of a statute, regulation, rule, order or license to appropriate Federal, State, local or foreign agencies responsible for investigation or prosecuting the violation or for enforcing or implementing the statute, rule, regulations, order or license;
 - (c) From the record of an individual in response to an inquiry from a Congressional office made at the request of that individual (42 FR 1903; April 11, 1977);
 - (d) To subject matter experts, and State and other Federal agencies, for the sole purpose of obtaining advice relevant to issuance of the permit.
5. For individuals, personal information such as home address and telephone number, financial data, and personal identifiers (social security number, birth date, etc.) will be removed prior to any release of the application.
6. The public burden for this information collection varies depending on the activity for which a permit is requested. The relevant burden for Approval of a Foreign Breeding Facility Under the WBCA is twelve (12) hours. This burden estimate includes time for reviewing instructions, gathering and maintaining data and completing and reviewing the form. You may direct comments regarding the burden estimate or any other aspect of the form to the Service Information Clearance Officer, U.S. Fish and Wildlife Service, Mail Stop 222, Arlington Square, U.S. Department of the Interior, 1849 C Street, NW, Washington D.C. 20240.

Freedom of Information Act – Notice

For organizations, businesses, or individuals operating as a business (*i.e.*, permittees not covered by the Privacy Act), we request that you identify any information that should be considered privileged and confidential business information to allow the Service to meet its responsibilities under FOIA. Confidential business information must be clearly marked "Business Confidential" at the top of the letter or page and each succeeding page and must be accompanied by a non-confidential summary of the confidential information. The non-confidential summary and remaining documents may be made available to the public under FOIA [43 CFR 2.13(c)(4), 43 CFR 2.15(d)(1)(i)].

APPLICATION PROCESSING FEE

The fee to process an Approval of a Foreign Breeding Facility Under the WBCA application is \$25.00. Checks should be made payable to "U.S. Fish and Wildlife Service." The fee applies to new permit applications, renewals, and amendments. The processing fee shall not be refunded if the permit is issued or denied, or if the application is abandoned. The fee schedule does not apply to any Federal, State, or local government agency or individual or institution under contract to such agency for the proposed activities. Until further notice, the fee will be waived for public institutions. As defined in 50 CFR 10.12 - "Public as used in referring to museums, zoological parks, and scientific or educational institutions, refers to such as are open to the general public and are either established, maintained, and operated as a governmental service or are privately endowed and organized but not operated for profit."